

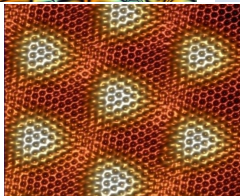
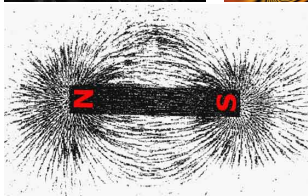
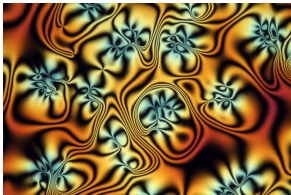
# A classification of quantum-topological phases of matter

Xiao-Gang Wen MIT

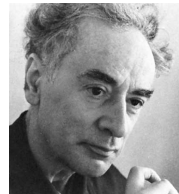
CUHK, 2018/01



# Systematic understanding of states of matter



- Rich forms of matter  $\leftarrow$  rich types of orders
- A deep insight from Landau: **different orders come from different symmetry breaking.**
  - $\rightarrow$  Symmetry breaking theory of orders
  - $\rightarrow$  A corner stone of condensed matter physics



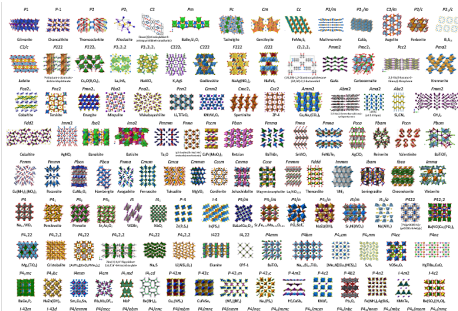
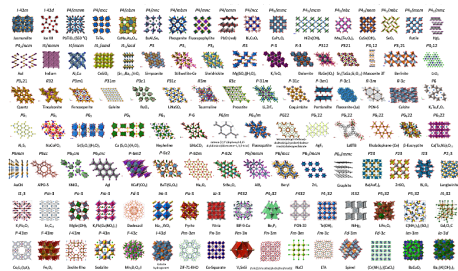
# Classification of symmetry breaking phases

- **Symm. breaking phases are classified by a pair  $G_\Psi \subset G_H$**

$G_H$  = symmetry group of the system.

$G_\Psi$  = symmetry group of the ground states.

- **230 crystals** from group theory

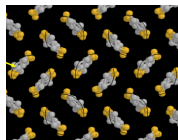
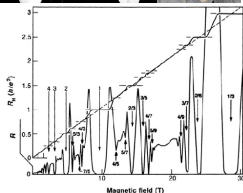
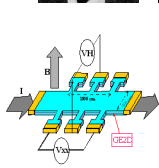
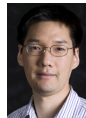


- *For a long time, we thought that Landau symmetry breaking and group theory classify all phases of matter*

# Quantum phases of matter beyond Landau symm. breaking

- Beyond symmetry breaking:

- Quantum Hall states  $\Psi_m = \prod (z_i - z_j)^m e^{-\frac{1}{4l_B^2} \sum |z_i|^2}$ ,  $z_i = x_i + iy_i$
- Spin liquid states, Organic  $\kappa$ -(ET)<sub>2</sub>X and herbertsmithite



von Klitzing Dorda Pepper, PRL **45** 494 (1980)

Kanoda et al, PRL **91** 107001 (2003)

Tsui Stormer Gossard, PRL **48** 1559 (1982)

YS Lee et al, PRL **98** 107204 (2007)

- FQH states and spin-liquid states have different phases with no symmetry breaking, no crystal order, no spin order, ...

→ **topological order**

Wen, PRB **40** 7387 (1989), IJMP **4** 239 (1990)

# Spin-0 chain and spin-1 chain

- Spin-1/2 chain with anti-ferromagnetic interaction  
 $H = J \sum_i \mathbf{S}_i \cdot \mathbf{S}_{i+1}$  is gapless and almost breaks the  $SO(3)$  spin rotation symmetry:  $\langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle \sim |i - j|^{-\alpha_{1/2}}$   
→ Spin- $S$  chain also almost breaks the  $SO(3)$  spin rotation symmetry:  $\langle \mathbf{S}_i \cdot \mathbf{S}_j \rangle \sim |i - j|^{-\alpha_S}$ , and is gapless.
- Haldane pointed out that integer spin- $S$  chain is gapped  
→ **Haldane phase**      Haldane, PRL **50** 1153 (1983)



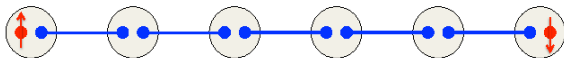
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## Haldane phases are topological or not topological?

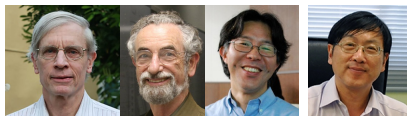
- Spin- $0$  chain is trivial  $\Psi_{\text{grnd}} = |\cdots 00000 \cdots\rangle$ .
- AKLT and T.K. Ng pointed out that spin- $1$  chain is non-trivial due to the emergence of fractionalized spin- $1/2$  at the chain ends.



Affleck-Kennedy-Lieb-Tasaki

CMP **115** 477 (88)

Ng PRB **50** 555 (94)



# Haldane phases are topological or not topological?

- All 1D short-range correlated states can be written as matrix-product states (MPS)

Fannes Nachtergaele Werner, CMP **144**, 443 (1992)  
and such matrix-product states are equivalent to product states under wave function RG

→ **No topological order in 1D**

Verstraete Cirac Latorre Rico Wolf, quant-ph/0410227



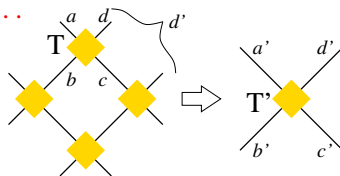
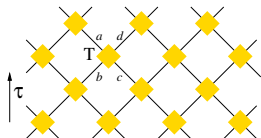
- → *Without symmetry breaking, all 1D symmetric states belong to the same trivial product phase (?)*  
→ *the gapped spin-1 and spin-0 phases are both trivial.*

**Haldane phases are not topological.**

- Tensor network entanglement-filtering:

$$Z = \sum e^{-S(a,b,\dots)} = \sum T_{abcd} T_{defg} \dots$$

Gu Wen, arXiv:0903.1069



# Even symmetric product states can be in different phases

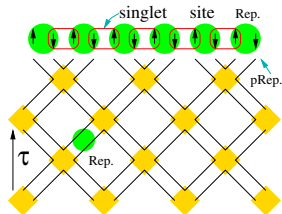
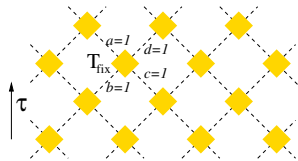
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After the entanglement-filtering tensor network renormalization,

(1) the fixed-point tensor  $T_{\text{fix}}$  may be 1-dimensional (trivial).

(2) the fixed-point tensor  $T_{\text{fix}}$  may have a corner-double-line structure:  
 $(T_{\text{fix}})_{a_1 a_2, b_1 b_2, c_1 c_2, d_1 d_2} = M_{a_2 b_1} M_{b_2 c_1} M_{c_2 d_1} M_{d_2 e_1}$

- We find that the corner-double-line structure is stable against any perturbations that respect the symmetry.
- In the presence of a symmetry, **even product states without symm. breaking can belong to distinct phases** → the notion of **SPT order** (symmetry protected trivial/topological order).



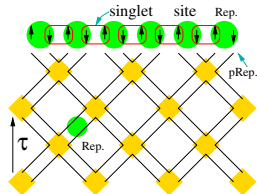
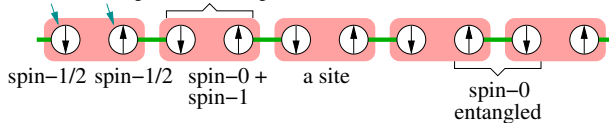


# Example of SPT states with $SO(3)$ spin rotation symmetry

After wavefunction RG, the fixed-point  $SO(3)$ -SPT state has  $\text{spin-0} \oplus \text{spin-1}$  per site. Two possible ground states:

- $|\Psi_0\rangle = |\cdots 000000 \cdots\rangle$  (ie spin-0 on each site)
- View  $\text{spin-0} \oplus \text{spin-1}$  as  $\text{spin-1/2} \otimes \text{spin-1/2}$ .

not a  $SO(3)$  rep.      a  $SO(3)$  representation



ie  $|\Psi_0\rangle = \text{spin-singlets of the two spin-1/2's on neighboring sites.}$

- Spin fractionalization  $\text{spin-0} \oplus \text{spin-1}$  per site  $\rightarrow$  spin-1/2 on the boundary  $\rightarrow$   $SO(3)$ -SPT state.

$\text{spin-0} \oplus \text{spin-1} = \text{representation of } SO(3).$

$\text{spin-1/2} = \text{projective representation of } SO(3).$

**Fractionalization (ie projective representation) on the boundary is the essence of SPT states.**

# Classification of all 1D gapped quantum phases of matter

The essence of 1D gapped phases is **symm. protected product states**

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Product states are easy to classify  $\rightarrow$

- Projective representation  
in MPS representation of symmetry  
and in entanglement spectrum

Pollmann Turner Berg Oshikawa, arXiv:0910.1811

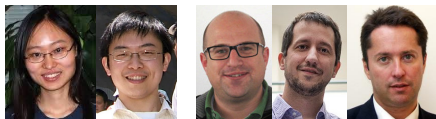


- **All 1D gapped bosonic/fermionic quantum phases are classified by a triple:  $(G_\Psi \subset G_H; \text{pRep}(G_\Psi))$**

$\text{pRep}(G_\Psi)$  is the projective rep. of unbroken symmetry group  $G_\Psi$ ,  
describing order beyond **symm. breaking**.

Chen Gu Wen, arXiv:1008.3745,

Schuch Perez-Garcia Cirac  
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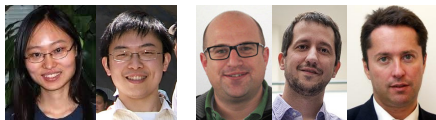


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- **Examples:**

**Odd**-integer-spin Haldane phase has a non-trivial  **$SO(3)$** -SPT order

**Even**-integer-spin Haldane phase has no  **$SO(3)$** -SPT order

Pollmann Berg Turner Oshikawa, arXiv:0909.4059

# Why Haldane phases are not topological?

## What is topological order?

### For gapped systems with no symm.:

- According to Landau theory, no symm. to break  
→ all systems belong to one trivial phase

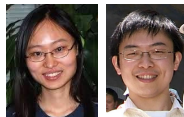
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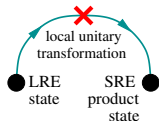
Chen-Gu-Wen arXiv:1004.3835

- According to Landau theory, no symm. to break  
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- Thinking about entanglement: there are
  - **long range entangled (LRE) states**
  - **short range entangled (SRE) states**



$$|\text{SRE}\rangle = \text{[stack of 4x4 blocks]} |\text{product state}\rangle \neq |\text{LRE}\rangle$$

$$|\text{product state}\rangle = |\cdots \uparrow\uparrow\uparrow\uparrow\uparrow \cdots\rangle = \bigotimes_i |\uparrow\rangle_i$$



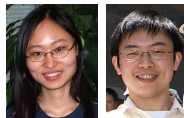
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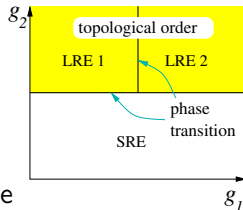
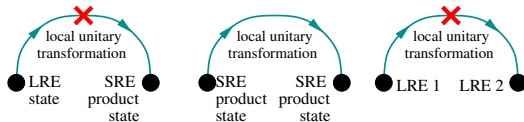
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- According to Landau theory, no symm. to break  
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- Thinking about entanglement: there are
  - **long range entangled (LRE) states** → many phases
  - **short range entangled (SRE) states** → one phase



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$$|\text{product state}\rangle = |\cdots \uparrow \uparrow \uparrow \uparrow \uparrow \cdots\rangle = \bigotimes_i |\uparrow\rangle_i$$



- All SRE states belong to the same trivial phase
- LRE states can belong to many different phases: different **patterns of long-range entanglements** defined by LU trans.

# What is the SPT order in Haldane phases of odd spins?

For gapped systems with a symmetry  $H = U_g H U_g^\dagger$ ,  $g \in G$

- **LRE symmetric states**  $\rightarrow$  many different phases
- **SRE symmetric states**  $\rightarrow$  one phase (no symm. breaking)

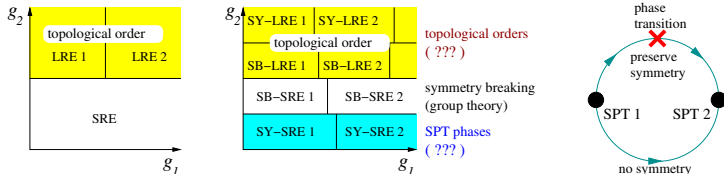


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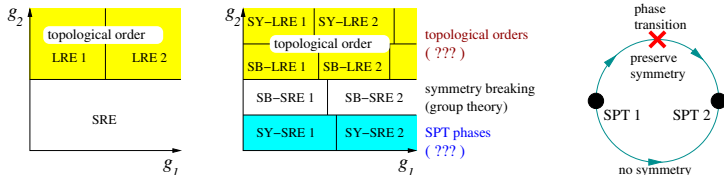
- SPT phases = equivalent class of *symmetric* LU trans.

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We may call them **symm. protected trivial (SPT)** phase  
or **symm. protected topological (SPT)** phase



- SPT phases = equivalent class of *symmetric* LU trans.
- Examples: 1D spin-1 gapped phase Haldane 83, 2D TI Kane-Mele 05; Bernevig-Zhang 06, 3D TI Moore-Balents 07; Fu-Kane-Mele 07

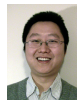
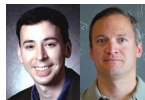
1D



2D



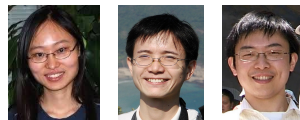
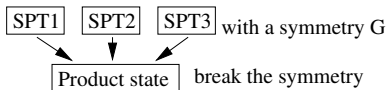
3D



# SPT orders in higher dimensions

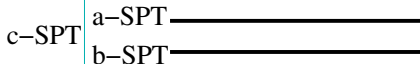
- In  $0 + 1$ D, the symmetric gapped phases (SPT phases) are classified by the 1-dim. representation of the symmetry group  $G$ :  
 $1\text{-dim. Rep}(G) = \mathcal{H}^{0+1}[G, U(1)]$  (*1st group-cohomology class*)
- In  $1 + 1$ D, the symmetric gapped phases (SPT phases) are classified by the projective representation of the symmetry group  $G$ :  $\text{pRep}(G) = \mathcal{H}^{1+1}[G, U(1)]$ .
- In  $d + 1$ D, the **SPT phases** are “classified”  $\mathcal{H}^{d+1}[G, U(1)]$

Chen-Gu-Liu-Wen arXiv:1106.4752



**Each element in  $\mathcal{H}^{d+1}[G, U(1)] \leftrightarrow$  an SPT phase.**

- The elements in  $\mathcal{H}^{d+1}[G, U(1)] = \{a, b, c, \dots\}$  form an abelian group: Stacking  $a$ -SPT state and  $b$ -SPT state give us a  $c$ -SPT state:  $c = a + b$



# Understand the “classification” through topological terms

- Consider an  $d + 1$ D system with symmetry  $G$ :

$$S = \int d^d x dt \frac{1}{2\lambda} (\partial g(x^\mu))^2, \text{ symmetry } g(x) \rightarrow hg(x), \quad h, g \in G$$

If under RG,  $\lambda \rightarrow \infty \rightarrow$  symmetric ground state described by a fixed point theory  $S_{\text{fixed}} = 0$  or  $e^{-S_{\text{fixed}}} = 1$ .

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- Another  $G$  symmetric system  $S = \int d^d x dt \frac{1}{2\lambda} (\partial g(x^\mu))^2 + 2\pi i kW$   
where  $kW = \#k(g^{-1}dg)^{d+1}$  is a topological term, which is classified by  $\{k\} = \text{Hom}(\pi_{d+1}(G), \mathbb{Z})$ .  $\pi_{d+1}(G)$ : *mapping classes*.

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- Fixed point theories ( $2\pi$ -quantized topological terms)  $\leftrightarrow$  symmetric phases: *The SPT phases are “classified” by  $\text{Hom}(\pi_{d+1}(G), \mathbb{Z})$*

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- But in the  $\lambda \rightarrow \infty$  limit,  $g(x^\mu)$  is not a continuous function. The mapping classes  $\pi_{d+1}(G)$  does not make sense.
- The above idea can be generalized to discrete space-time lattice,  $\text{Hom}(\pi_{d+1}(G), \mathbb{Z}) \rightarrow \mathcal{H}^{d+1}(G, \mathbb{Z}/\mathbb{R})$ , that classifies new topological terms.

# What is so special about the boundary of SPT states?

- A SPT state has trivial bulk excitations (the same as a trivial symmetric product state).
- But a SPT state has non-trivial boundary described by a “non-trivial” boundary effective theory.

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- The boundary lattice effective  $H_{\text{bdry}}$  has **non-on-site** symmetry:  
 $U^{-1}(g)H_{\text{bdry}}U(g) = H_{\text{bdry}}, g \in G$  with  $U(g) \neq \prod_{i \in \text{sites}} U_i(g)$ .
- The symmetry  $G$  cannot be **gauged** on the boundary.  
If gauged, the boundary effective theory has  $G$ -gauge anomaly.

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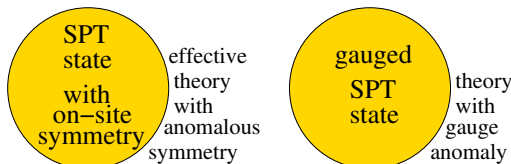
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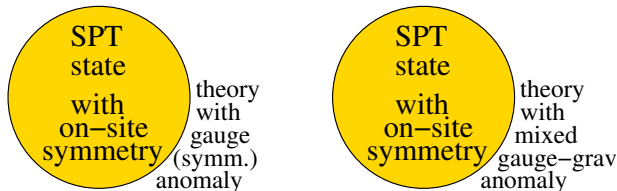
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- $d + 1$ D SPT phases  
(or group cohomology  $\mathcal{H}^{d+1}[G, U(1)]$ ) classify  $G$ -gauge anomalies in one lower dimension ( $d$ D space-time).

Wen arXiv:1303.1803



# A complete classification of SPT states

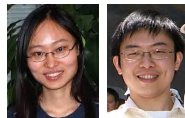


- $d + 1$ D SPT phases are classified by pure gauge anomalies and mixed gauge-gravity anomalies in one lower dimension:
  - $d + 1$ D SPT phases are classified by  $\mathcal{H}^{d+1}[G \times SO_\infty, U(1)]$  (in a many-to-one way), and are realized by  $G \times SO_\infty$  non-linear  $\sigma$ -model with topological terms. Wen arXiv:1410.8477
  - $d + 1$ D SPT phases are classified by cobordism groups. Kapustin arXiv:1404.6659

# Topological orders = Patterns of long range entanglement

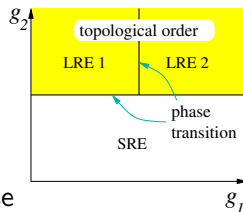
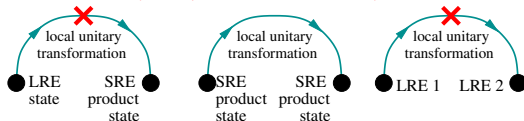
For gapped systems with no symm.: [Chen-Gu-Wen arXiv:1004.3835](#)

- According to Landau theory, no symm. to break  
→ all systems belong to one trivial phase
- Thinking about entanglement: there are
  - **long range entangled (LRE) states** → many phases
  - **short range entangled (SRE) states** → one phase



$$|\text{SRE}\rangle = \text{[stack of horizontal lines with vertical connections]} |\text{product state}\rangle \neq |\text{LRE}\rangle$$

$$|\text{product state}\rangle = |\dots \uparrow\uparrow\uparrow\uparrow\uparrow\uparrow \dots\rangle$$



- All SRE states belong to the same trivial phase
- LRE states can belong to many different phases: different **patterns of long-range entanglements** defined by LU trans.  
= different **topological orders** [Wen, Phys. Rev. B40, 7387 \(1989\)](#)

# Some examples of 2+1D topological orders

**Abelian topological order:**  $\rightarrow$  fractional statistics

- IQH and Laughlin many-body state Laughlin PRL **50** 1395 (1983)

$$\chi_1 = \prod_{1 \leq i < j \leq N} (z_i - z_j) e^{-\frac{1}{4} \sum |z_i|^2}, \quad \psi_{\nu=1/n}^{F,B} = \prod (z_i - z_j)^n e^{-\frac{n}{4} \sum |z_i|^2} \\ = (\chi_1)^n$$

where  $z_i = x_i + i y_i$  and  $\chi_n = n$  filled Landau levels.

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**Non-abelian topological order:** → non-abelian statistics

- $SU(m)_2$  state via slave-particle Wen PRL **66** 802 (1991)

$$\Psi_{SU(2)_2}^B = (\chi_2)^2, \quad \nu = 1; \quad \Psi_{SU(2)_3}^B = (\chi_3)^2, \quad \nu = \frac{3}{2};$$

→  $SU(2)_m$  Chern-Simons effective theory → non-abelian statistics

- Pfaffian state via correlation CFT Moore-Read NPB **360** 362 (1991)

$$\Psi_{Pfa}^B = \mathcal{A} \left[ \frac{1}{z_1 - z_2} \frac{1}{z_3 - z_4} \cdots \right] \prod (z_i - z_j) e^{-\frac{1}{4} \sum |z_i|^2}, \quad \nu = 1$$

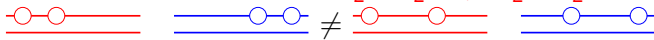
- The Pfaffian and  $SU(2)_2$  have the same non-abelian statistics
- The  $SU(2)_3$  state has the Fibonacci non-abelian statistics



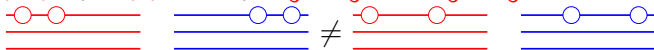
# Non-Abelian statistics = fractionalized degrees of freedom

**Non-Abelian statistics = Appearance of topological degeneracy even when all the quasiparticles are fully trapped.**

- The ground state  $(\chi_2)^2 = \chi_2 \chi_2$  ( $SU(2)_2$  FQH) is non-degenerate.
- Degeneracy  $D_{\text{deg}}$  of 4 trapped quasiparticles at  $x_1, x_2, x_3, x_4$ :  
many different wave functions:  $\chi_2^{x_1 x_2} \chi_2^{x_3 x_4} \neq \chi_2^{x_1 x_3} \chi_2^{x_2 x_4}$



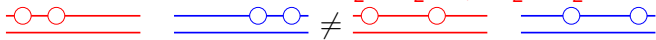
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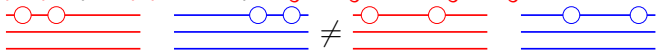
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- **Fractionalized degrees of freedom:**  $N$  trapped quasiparticle  $\rightarrow$  degeneracy  $D_{\text{deg}}(N)$ . Each particle carries degrees of freedom  $d = \lim_{N \rightarrow \infty} [D_{\text{deg}}(N)]^{\frac{1}{N}}$  (the **quantum dimension** of the particle)
- $d = 2$  for a spin-1/2 particle (a qubit)
- $d = 4$  for a spin-3/2 particle (two qubits)
- For quasiparticle in  $\chi_1(\chi_2)^2$  state:  $d = \sqrt{2}$  (half qubit).
- For quasiparticle in  $(\chi_2)^3$  state:  $d = \frac{\sqrt{5}+1}{2}$ .

# Properties of $\chi_1^k(\chi_m)^n SU(n)_m$ non-Abelian FQH state

- The central charge of the edge states:

$$c = mn - \frac{m(n-1)(n+1)}{m+n}$$

- The filling fraction is  $\nu = \frac{m}{km+n}$ .

- $\chi_1(\chi_2)^2 (SU(2)_2)$ :  $c = \frac{5}{2}$ ,  $\nu = \frac{1}{2}$
- $\chi_1(\chi_3)^2 (SU(2)_3)$ :  $c = \frac{21}{5}$ ,  $\nu = \frac{3}{5}$
- $(\chi_2)^3 (SU(3)_2)$ :  $c = \frac{14}{5}$ ,  $\nu = \frac{2}{3}$

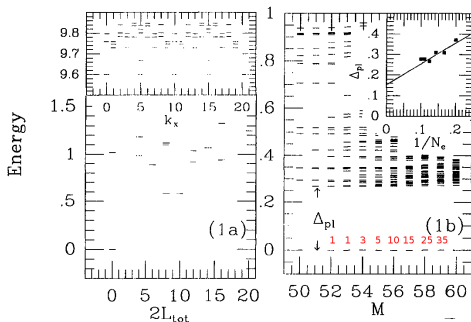
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Edge spectrum  $\rightarrow L_{\text{edge}} = \partial_x \phi (\partial_t \phi - \partial_x \phi) + i\lambda (\partial_t - \partial_x) \lambda$

$\rightarrow$  1D chiral Majorana fermions on the edge.

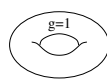
Wen PRL **70** 355 (93)



# Data to measure/define topo.-order/long-range-entangl.

(1)  $\Psi$  = space of degenerate ground states:  $\Psi \subset \mathcal{V}_{\text{tot}} = \otimes \mathcal{V}_i$

- Topo. degeneracy  $D_g \equiv \dim \Psi$ ,  
depends on topology of space



Wen PRB 40, 7387 (89); Wen-Niu PRB 41, 9377 (90) Deg.=1

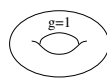
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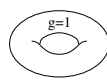
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i. Consider a torus  $\Sigma_1$  w/ metrics  $g_{ij}$ . ii. Different metrics  $g_{ij}$  form the moduli space  $\mathcal{M} = \{g_{ij}\}$ . iii. The ground states depend on metrics:  $\Psi_\alpha(g_{ij}) \rightarrow$  a vector bundle over  $\mathcal{M}$  with fiber  $\Psi_\alpha(g_{ij})$ .

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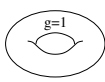
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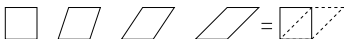
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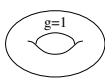
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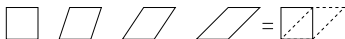
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Conjecture: **The vector bundles from all genus- $g$   $\Sigma_g$  (ie the data  $(S, T, c), \dots$ ) completely characterize the topo. orders**



# Classify 2+1D topo. orders (*ie* patterns of entanglement)

via the topological data  $(S, T, c)$

- A 2+1D topological order  $\rightarrow$  a  $(S, T, c)$
- An arbitrary  $(S, T, c) \not\rightarrow$  a 2+1D topological order
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- How to find the conditions?  
Study topological excitations above the ground states  
 $\rightarrow$  unitary modular tensor category theory (UMTC)

# Data to describe quasiparticles *ie* non-Abelian statistics

We want to classify 2+1D topological orders by classifying properties of quasiparticles (ie their non-Abelian statistics)

- **$N$ : the number of types** of topological excitations.
- $i = 1$  labels the trivial type, the quasiparticle that can be created by local operators.
- $i = 2, \dots, N$  label the topological type, the quasiparticles that cannot be created by local operators, such as vortices.

**Example:** The **symmetry type** in  $SO(3)$  symmetric state:

- Spin-0 labels the trivial type, the quasiparticle that can be created by local symmetric operators.
- Spin-1, 2,  $\dots$  label the “topological” type, the quasiparticles that cannot be created by local symmetric operators.
- **Quantum dimension  $d_i$**  of type- $i$  quasiparticle = internal degrees of freedom of the type- $i$  quasiparticle.

**Example:** Spin- $S$  quasiparticle has  $d_S = 2S + 1$ .

# Data to describe quasiparticles *ie* non-Abelian statistics

## Fusion of quasiparticles:

**Example:** Spin-1  $\otimes$  Spin-1 = Spin-0  $\oplus$  Spin-1  $\oplus$  Spin-2, or  $1 \otimes 1 = 0 \oplus 1 \oplus 2$ . In general  $S_1 \otimes S_2 = \bigoplus N_{S_3}^{S_1 S_2} S_3$ , with  $N_{S_3}^{S_1 S_2} = 1$  for  $|S_1 - S_2| \leq S_3 \leq S_1 + S_2$ ,  $N_{S_3}^{S_1 S_2} = 0$  otherwise.

- For generic non-Abelian particles labeled by  $i = 1, \dots, N$ , their fusions are described by

$$i \otimes j = \bigoplus_{k=1}^N N_k^{ij} k.$$

- Fusion identity:  $1 \otimes j = j$  where  $i = 1$  corresponds to non-topological excitation.
- Anti-particle:  $i \otimes \bar{i} = 1$  or  $N_1^{i\bar{i}} = 1$  and  $N_j^{i\bar{i}} = 0$  if  $j \neq 1$ .
- Associativity of fusion:  $(i \otimes j) \otimes k = i \otimes (j \otimes k) \rightarrow$

$$\sum_m N_m^{ij} N_l^{mk} = \sum_n N_l^{in} N_n^{jk}$$

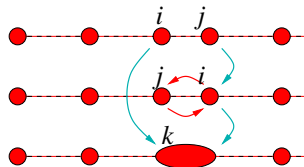
# Fusion coefficients $N_k^{ij}$ and quantum dimension $d_i$

Let  $\mathbf{N}_i$  is a matrix with matrix elements  $(\mathbf{N}_i)_{kj} = N_k^{ij}$ , then  $d_i$  is the largest eigenvalue of  $\mathbf{N}_i$ .

- The number of **topological degenerate ground states** with two fixed particles  $i$  and  $j$  on a sphere = the number of ways to fuse into 1:  $D(i, j) = N_1^{ij}$ :  $D(i, \bar{i}) = 1$  and  $D(i, j) = 0$  is  $j \neq \bar{i}$ .
- The number of topological degenerate ground states with four fixed particles  $i, j, k, l$  on a sphere = the number of ways to fuse into 1:  $D(i, j, k, l) = \sum_r N_r^{ij} N_{\bar{r}}^{kl} N_1^{r\bar{r}} = \sum_r N_r^{ij} N_{\bar{r}}^{kl}$ .
- The number of ways to fuse  $n$  type- $i$  particles into 1 =  $\sum N_1^{im_{n-2}} \cdots N_{m_2}^{im_1} N_{m_1}^{ii} = (\mathbf{N}_i)_{1i}^{n-2} \sim d_i^n$   
→ The quantum dimension  $d_i$  is the largest eigenvalue of  $\mathbf{N}_i$ .

# Braiding of the quasiparticle

- Particles can also braid  $\rightarrow$  unitary braided fusion category
- Braiding requires that
$$N_k^{ij} = N_k^{ji}.$$



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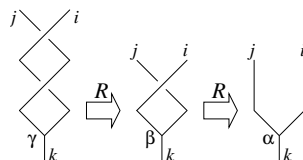
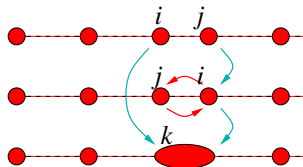
- Braiding  $\rightarrow$  **mutual statistics**  $e^{i\theta_{ij}^{(k)}}$  and non-trivial **spin**  $s_i$

$2\pi$  rotation of  $(i, j) = 2\pi$  rotation of  $k$

$2\pi$  rotation of  $(i, j) = 2\pi$  rotation of  $i$  and  $j$  and exchange  $i, j$  twice

$$e^{i2\pi s_i} e^{i2\pi s_j} e^{i\theta_{ij}^{(k)}} = e^{i2\pi s_k}$$

$$\text{or } \theta_{ij}^{(k)} = 2\pi(s_k - s_i - s_j)$$



A unitary braided fusion category (UBFC) is a set of quasiparticles with fusion and braiding, which is described by data  $(N_k^{ij}, s_i)$



# Relation between $(S, T, c)$ and $(N_k^{ij}, s_i, c)$

- From  $(S, T, c)$  to  $(N_k^{ij}, s_i, c)$ : Verlinde formula

$$N_k^{ij} = \sum_l \frac{S_{li} S_{lj} (S_{lk})^*}{S_{1l}}, \quad e^{i2\pi s_i} e^{-i2\pi \frac{c}{24}} = T_{ii}.$$

- From  $(N_k^{ij}, s_i, c)$  to  $(S, T, c)$ :

$$S_{ij} = \frac{1}{\sqrt{\sum_i d_i^2}} \sum_k N_k^{ij} e^{2\pi i (s_i + s_j - s_k)} d_k, \quad T_{ii} = e^{i2\pi s_i} e^{-i2\pi \frac{c}{24}}$$

**Conditions on  $(N_k^{ij}, s_i, c) \leftrightarrow$  Conditions on  $(S, T, c)$**

**$\rightarrow$  A theory of unitary modular tensor category (UMTC)**



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**Conditions on  $(N_k^{ij}, s_i, c) \leftrightarrow$  Conditions on  $(S, T, c)$**

**→ A theory of unitary modular tensor category (UMTC)**

*simplified theory of UMTC*

Rowell-Stong-Wang arXiv:0712.1377



- The standard point of view:

UMTC's are fully characterized by  $(N_k^{ij}, F_{klm;\alpha\beta}^{ijm;\gamma\lambda}, R_{k;\beta}^{ij;\alpha})$  (but not one-to-one). Conditions on those data + the equivalent relations

→ a theory of UMTC.

*hard to work with*

# A simplified theory of UMTC based on $(N_k^{ij}, s_i, c)$

- **Fusion ring:**  $N_k^{ij}$  are non-negative integers that satisfy

$$N_k^{ij} = N_k^{ji}, \quad N_j^{1i} = \delta_{ij},$$

$$\sum_{m=1}^N N_m^{ij} N_l^{mk} = \sum_{m=1}^N N_l^{im} N_m^{jk} \text{ or } \mathbf{N}_k \mathbf{N}_i = \mathbf{N}_i \mathbf{N}_k$$

where  $i, j, \dots = 1, 2, \dots, N$ , and the matrix  $\mathbf{N}_i$  is given by  $(\mathbf{N}_i)_{kj} = N_k^{ij}$ . We refer  $N$  as the rank.

- **Charge conjugation:**  $N_1^{ij}$  defines a charge conjugation  $i \rightarrow \bar{i}$ :

$$N_1^{ij} = \delta_{\bar{i}j}, \quad \text{such that } \bar{\bar{i}} = i.$$

# A simplified theory of UMTC based on $(N_k^{ij}, s_i, c)$

Anderson-Moore 1988; Vafa PLB 206, 421 (88)

- **Rationality theorem:**  $N_k^{ij}$  and  $s_i$  satisfy

$$\det(W_{i,j}) \det(W_{i,k}) = \det(W_{i,jk}) \rightarrow \sum_r V_{ijkl}^r s_r = 0 \mod 1$$

$$V_{ijkl}^r = N_r^{ij} N_{\bar{r}}^{kl} + N_r^{il} N_{\bar{r}}^{jk} + N_r^{ik} N_{\bar{r}}^{jl} - (\delta_{ir} + \delta_{jr} + \delta_{kr} + \delta_{lr}) \sum_m N_m^{ij} N_{\bar{m}}^{kl}$$

Compute  $\det(W_{i,j})$

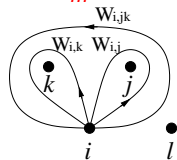
- Dimension of the fusion space of  $i, j, k, l$ :

$$D = \sum_r N_r^{ij} N_{\bar{r}}^{kl}$$

Thus  $W_{i,j}$  is a  $D$  dimension unitary matrix.

- $W_{i,j}$  is diagonal in the basis from the fusion  $D = \sum_m N_r^{ij} N_{\bar{r}}^{kl}$ .
- The eigenvalue  $e^{i\theta_{ij}^{(r)}}$  appear  $N_r^{ij} N_{\bar{r}}^{kl}$  times.

$$\det(W_{i,j}) = \prod_r \left( e^{i\theta_{ij}^{(r)}} \right)^{N_r^{ij} N_{\bar{r}}^{kl}} = \prod_r e^{i2\pi(s_r - s_i - s_j) N_r^{ij} N_{\bar{r}}^{kl}}$$



# A simplified theory of UMTC based on $(N_k^{ij}, s_i, c)$

From  $(N_k^{ij}, s_i, c) \rightarrow (S, T)$

- Let  $d_i$  be the largest eigenvalue of the matrix  $N_i$ . Let

$$S_{ij} = \frac{1}{D} \sum_k N_k^{ij} e^{2\pi i(s_i + s_j - s_k)} d_k, \quad D^2 = \sum_i d_i^2.$$

Then,  $S$  satisfies

$$S_{11} > 0, \quad \sum_k S_{kl} N_k^{ij} = \frac{S_{li} S_{lj}}{S_{1l}}, \quad S = S^\dagger C, \quad C_{ij} \equiv N_1^{ij}.$$

- Let  $T_{ij} = e^{i2\pi s_i} e^{-i2\pi \frac{c}{24} \delta_{ij}}$  then (rep. of modular group  $SL(2, \mathbb{Z})$ )

$$S^2 = (ST)^3 = C.$$

- Let  $\nu_i = \frac{1}{D^2} \sum_{jk} N_i^{jk} d_j d_k e^{4\pi i(s_j - s_k)}$ . Then  $\nu_i = 0$  if  $i \neq \bar{i}$ , and  $\nu_i = \pm 1$  if  $i = \bar{i}$ .

Rowell-Stong-Wang arXiv:0712.1377

# 2+1D bosonic topo. orders (up to $E_8$ -states) via $(N_k^{ij}, s_i, c)$

$$\zeta_n^m = \frac{\sin(\pi(m+1)/(n+2))}{\sin(\pi/(n+2))}$$

Rowell-Stong-Wang arXiv:0712.1377; Wen arXiv:1506.05768

| $N_c^B$       | $d_1, d_2, \dots$                                     | $s_1, s_2, \dots$ wave func.                                                     | $N_c^B$        | $d_1, d_2, \dots$                                     | $s_1, s_2, \dots$ wave func.                                  |
|---------------|-------------------------------------------------------|----------------------------------------------------------------------------------|----------------|-------------------------------------------------------|---------------------------------------------------------------|
| $1_1^B$       | 1                                                     | 0                                                                                | $1_1^B$        | 1                                                     | 0                                                             |
| $2_1^B$       | 1, 1                                                  | $0, \frac{1}{4} \quad \Pi(z_i - z_j)^2$                                          | $2_{-1}^B$     | 1, 1                                                  | $0, -\frac{1}{4} \quad \Pi(z_i^* - z_j^*)^2$                  |
| $2_{14/5}^B$  | $1, \zeta_3^1$                                        | $0, \frac{2}{5}$                                                                 | $2_{-14/5}^B$  | $1, \zeta_3^1$                                        | $0, -\frac{2}{5}$                                             |
| $3_2^B$       | 1, 1, 1                                               | $0, \frac{1}{3}, \frac{1}{3} \quad (221) \text{ double-layer}$                   | $3_{-2}^B$     | 1, 1, 1                                               | $0, -\frac{1}{3}, -\frac{1}{3}$                               |
| $3_{8/7}^B$   | $1, \zeta_5^1, \zeta_5^2$                             | $0, -\frac{1}{7}, \frac{2}{7}$                                                   | $3_{-8/7}^B$   | $1, \zeta_5^1, \zeta_5^2$                             | $0, \frac{1}{7}, -\frac{2}{7}$                                |
| $3_{1/2}^B$   | $1, 1, \zeta_2^1$                                     | $0, \frac{1}{2}, \frac{1}{16}$                                                   | $3_{-1/2}^B$   | $1, 1, \zeta_2^1$                                     | $0, \frac{1}{2}, -\frac{1}{16}$                               |
| $3_{3/2}^B$   | $1, 1, \zeta_2^1$                                     | $0, \frac{1}{2}, \frac{3}{16}$                                                   | $3_{-3/2}^B$   | $1, 1, \zeta_2^1$                                     | $0, \frac{1}{2}, -\frac{3}{16}$                               |
| $3_{5/2}^B$   | $1, 1, \zeta_2^1$                                     | $0, \frac{1}{2}, \frac{5}{16}$                                                   | $3_{-5/2}^B$   | $1, 1, \zeta_2^1$                                     | $0, \frac{1}{2}, -\frac{5}{16}$                               |
| $3_{7/2}^B$   | $1, 1, \zeta_2^1$                                     | $0, \frac{1}{2}, \frac{7}{16}$                                                   | $3_{-7/2}^B$   | $1, 1, \zeta_2^1$                                     | $0, \frac{1}{2}, -\frac{7}{16}$                               |
| $4_0^{B,a}$   | 1, 1, 1, 1                                            | $0, 0, 0, \frac{1}{2} \quad Z_2 \text{ gauge}$                                   | $4_4^B$        | 1, 1, 1, 1                                            | $0, \frac{1}{2}, \frac{1}{2}, \frac{1}{2}$                    |
| $4_1^B$       | 1, 1, 1, 1                                            | $0, \frac{1}{8}, \frac{1}{8}, \frac{1}{2} \quad \Pi(z_i - z_j)^4$                | $4_{-1}^B$     | 1, 1, 1, 1                                            | $0, -\frac{1}{8}, -\frac{1}{8}, \frac{1}{2}$                  |
| $4_2^B$       | 1, 1, 1, 1                                            | $0, \frac{1}{4}, \frac{1}{4}, \frac{1}{2} \quad (220) \text{ double-layer}$      | $4_{-2}^B$     | 1, 1, 1, 1                                            | $0, -\frac{1}{4}, -\frac{1}{4}, \frac{1}{2}$                  |
| $4_3^B$       | 1, 1, 1, 1                                            | $0, \frac{3}{8}, \frac{3}{8}, \frac{1}{2}$                                       | $4_{-3}^B$     | 1, 1, 1, 1                                            | $0, -\frac{3}{8}, -\frac{3}{8}, \frac{1}{2}$                  |
| $4_0^{B,b}$   | 1, 1, 1, 1                                            | $0, 0, \frac{1}{4}, -\frac{1}{4} \quad \text{double semion}$                     | $4_{9/5}^B$    | $1, 1, \zeta_3^1, \zeta_3^1$                          | $0, -\frac{1}{4}, \frac{3}{20}, \frac{2}{5}$                  |
| $4_{-9/5}^B$  | $1, 1, \zeta_3^1, \zeta_3^1$                          | $0, \frac{1}{4}, -\frac{3}{20}, -\frac{2}{5}$                                    | $4_{19/5}^B$   | $1, 1, \zeta_3^1, \zeta_3^1$                          | $0, \frac{1}{4}, -\frac{7}{20}, \frac{2}{5}$                  |
| $4_{-19/5}^B$ | $1, 1, \zeta_3^1, \zeta_3^1$                          | $0, -\frac{1}{4}, \frac{7}{20}, -\frac{2}{5} \quad \chi_3^2 SU(2)_3$             | $4_0^{B,c}$    | $1, \zeta_3^1, \zeta_3^1, \zeta_3^1 \zeta_3^1$        | $0, \frac{2}{5}, -\frac{2}{5}, 0 \quad \text{Fibonacci}^2$    |
| $4_{12/5}^B$  | $1, \zeta_3^1, \zeta_3^1, \zeta_3^1 \zeta_3^1$        | $0, -\frac{2}{5}, -\frac{2}{5}, \frac{1}{5}$                                     | $4_{-12/5}^B$  | $1, \zeta_3^1, \zeta_3^1, \zeta_3^1 \zeta_3^1$        | $0, \frac{2}{5}, \frac{2}{5}, -\frac{1}{5}$                   |
| $4_{10/3}^B$  | $1, \zeta_7^1, \zeta_7^2, \zeta_7^3$                  | $0, \frac{1}{3}, \frac{2}{9}, -\frac{1}{3}$                                      | $4_{-10/3}^B$  | $1, \zeta_7^1, \zeta_7^2, \zeta_7^3$                  | $0, -\frac{1}{3}, -\frac{2}{9}, \frac{1}{3}$                  |
| $5_0^B$       | 1, 1, 1, 1, 1                                         | $0, \frac{1}{5}, \frac{1}{5}, -\frac{1}{5}, -\frac{1}{5} \quad (223) \text{ DL}$ | $5_4^B$        | 1, 1, 1, 1, 1                                         | $0, \frac{2}{5}, \frac{2}{5}, -\frac{2}{5}, -\frac{2}{5}$     |
| $5_2^{B,a}$   | $1, 1, \zeta_4^1, \zeta_4^1, 2$                       | $0, 0, \frac{1}{8}, -\frac{3}{8}, \frac{1}{3}$                                   | $5_2^{B,b}$    | $1, 1, \zeta_4^1, \zeta_4^1, 2$                       | $0, 0, -\frac{1}{8}, \frac{3}{8}, \frac{1}{3}$                |
| $5_{-2}^B$    | $1, 1, \zeta_4^1, \zeta_4^1, 2$                       | $0, 0, \frac{1}{8}, -\frac{3}{8}, -\frac{1}{3}$                                  | $5_{-2}^{B,a}$ | $1, 1, \zeta_4^1, \zeta_4^1, 2$                       | $0, 0, -\frac{1}{8}, \frac{3}{8}, -\frac{1}{3}$               |
| $5_{16/11}^B$ | $1, \zeta_9^1, \zeta_9^2, \zeta_9^3, \zeta_9^4$       | $0, -\frac{2}{11}, \frac{2}{11}, \frac{1}{11}, -\frac{5}{11}$                    | $5_{-16/11}^B$ | $1, \zeta_9^1, \zeta_9^2, \zeta_9^3, \zeta_9^4$       | $0, \frac{2}{11}, -\frac{2}{11}, -\frac{1}{11}, \frac{5}{11}$ |
| $5_{18/7}^B$  | $1, \zeta_5^2, \zeta_5^2, \zeta_{12}^2, \zeta_{12}^4$ | $0, -\frac{1}{7}, -\frac{1}{7}, \frac{1}{7}, \frac{3}{7}$                        | $5_{-18/7}^B$  | $1, \zeta_5^2, \zeta_5^2, \zeta_{12}^2, \zeta_{12}^4$ | $0, \frac{1}{7}, \frac{1}{7}, -\frac{1}{7}, -\frac{3}{7}$     |

# Classification of 2D gapped bosonic quantum phases

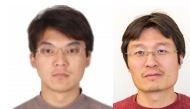
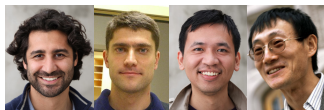
- 2D gapped bosonic quantum phases are classified by :

( $G_\Psi \subset G_H$ ;  $G_\Psi$ -crossed  $MTC$ ;  $c$ )

Barkeshli Bonderson Cheng Wang, arXiv:1410.4540

or by ( $G_\Psi \subset G_H$ ;  $\text{REP}(G_\Psi) \subset BFC \subset MTC$ ;  $c$ )

Lan Kong Wen, arXiv:1602.05946



- $G_\Psi \subset G_H \rightarrow$  **symmetry breaking**

$\text{REP}(G_\Psi) \subset BFC \subset MTC$ ;  $c \rightarrow$  **patterns of long-range entanglement = topological orders**

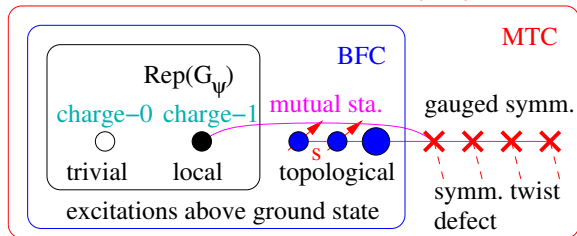
- $\text{REP}(G_\Psi) \subset BFC \subset MTC$  are three tensor categories, one contained in another.
- $c$  is the chiral central charge (*ie* the specific heat) of edge states.

But what are **tensor categories**?

- The mathematics to describe patterns of long range entanglement
- The theory to describe gapped excitations/defects.

# Classification of 2D gapped bosonic quantum phases

- Carton picture of tensor categories  $\text{Rep}(G_\Psi) \subset \text{BFC} \subset \text{MTC}$



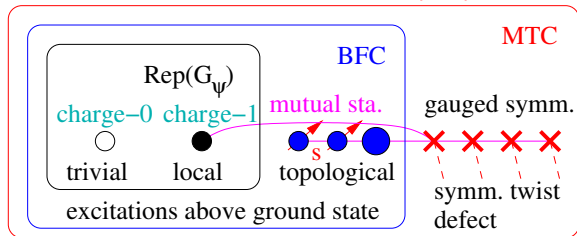
- $\text{Rep}(G_\Psi)$  describes trivial and local excitations that can be created by local operators, such as a spin flip. (Not fractionalized)
  - They carry symmetry quantum numbers of the unbroken symmetry =  $\text{Rep}(G_\Psi)$
  - They carry integer degrees of freedom: **quantum dimension**  $d = \dim(\text{Rep}(G_\Psi))$ .

*Mathematically,  $\text{Rep}(G_\Psi)$  is the symmetric fusion category (SFC) formed by the representations of the unbroken symmetry group  $G_\Psi$ .*



# Classification of 2D gapped bosonic quantum phases

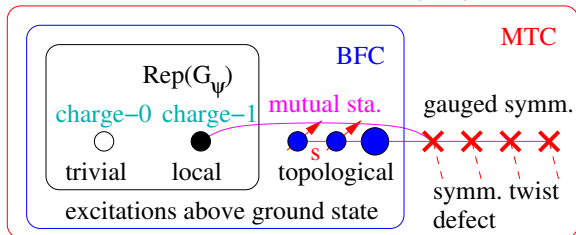
- Carton picture of tensor categories  $\text{Rep}(G_\Psi) \subset \text{BFC} \subset \text{MTC}$



- $\text{BFC}$  describe all gapped excitations, including the local excitations as well as the **topological excitations** that cannot be created by local operators. Topological excitations are fractionalized:
  - Their quantum dimensions  $d$  can be non integer  $\rightarrow$  **fractional degrees of freedom**.
  - They may carry **fractional quantum numbers**, ie projective representation of  $G_\Psi$  and something even more general.
  - They may carry **fractional spin**  $s$ , such as  $s = 1/3$ .
  - They may carry **fractional/non-abelian statistics**.

# Classification of 2D gapped bosonic quantum phases

- Carton picture of tensor categories  $\text{Rep}(G_\Psi) \subset \text{BFC} \subset \text{MTC}$



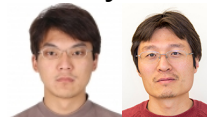
But the *braided-fusion category*  $\text{BFC}$  does not contain data on how excitations change under symmetry transformation.

- Add symmetry twist defects to expand  $\text{BFC}$  into a  $\text{MTC}$  – *modular tensor category* (non-trivial mutual statistics between all quasiparticles, except the trivial one).
  - Braiding with symm. twist defects describes the symm. action.
  - 2D topological orders with the same  $\text{BFC}$  but different  $\text{MTC}$  → 2D topological orders with the same bulk quasi-particle excitations but different edge states.

# Classification of 2D gapped fermionic quantum phases

- 2D gapped fermionic quantum phases are classified by :

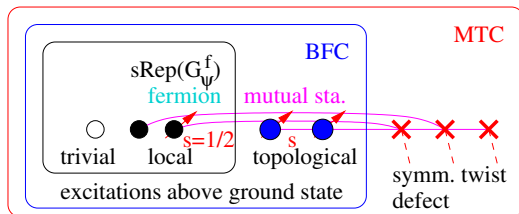
$$(G_\Psi \subset G_H; \text{sRep}(G_\Psi^f) \subset \text{BFC} \subset \text{MTC}; c)$$



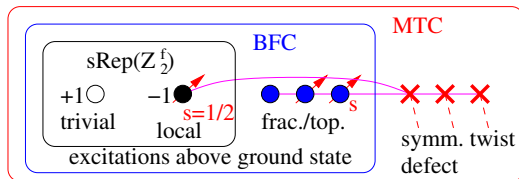
Lan Kong Wen

arXiv:1507.04673

arXiv:1602.05946



- The only change is to allow some rep. of  $G_\Psi$  to be fermionic.
- Let  $G_\Psi = Z_2^f$  – fermion-number-parity symm. → **classification of all 2D gapped fermionic quantum phases without symmetry**



= classification  
of 2D **fermionic**  
**topo. orders**